

# Cryptanalysis of Rocca and Feasibility of Its Security Claim

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## Rocca

(Sakamoto, et al.  
FSE 2022)

- AES-based AEAD for the use in the beyond 5G systems.
- Security claims:
  - 256-bit security against the key recovery and distinguishing attacks.
  - 128-bit security against the forgery attack.

## ***Our Contributions***

### **1. Breaking the security claim of Rocca.**

We propose the key-recovery attack against Rocca with the complexity of  $2^{128}$ .

### **2. Exploring the feasibility of the (original) security claim of Rocca.**

We show the feasibility of unbalanced bit security for indistinguishability and forgery.

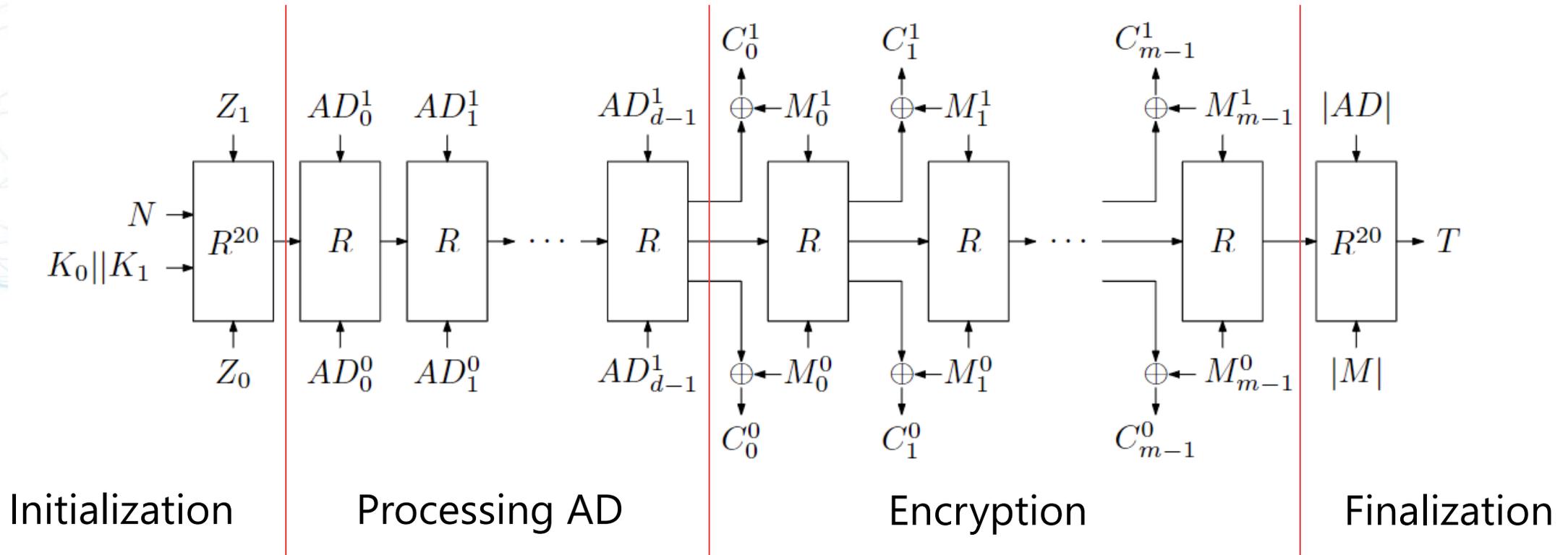


# Specification of Rocca

- AES-based AEAD (proposed at FSE 2022).
  - AES-NI and SIMD-friendly design.
  - Ultra high speed (over 100 Gbps)
  - Security claims (ToSC 2021.i2.1-30)
    - 256-bit security against key recovery and distinguishing attacks.
    - 128-bit security against forgery attack.
    - No claim : nonce misuse, related key, known key.
  - Modified claims (ePrint 2022/116, 20220421 ver.)
    - 256-bit security against key recovery attack.
    - 128-bit security against distinguishing and forgery attacks.
    - No claim : nonce misuse, related key, known key.

# Structure of Rocca

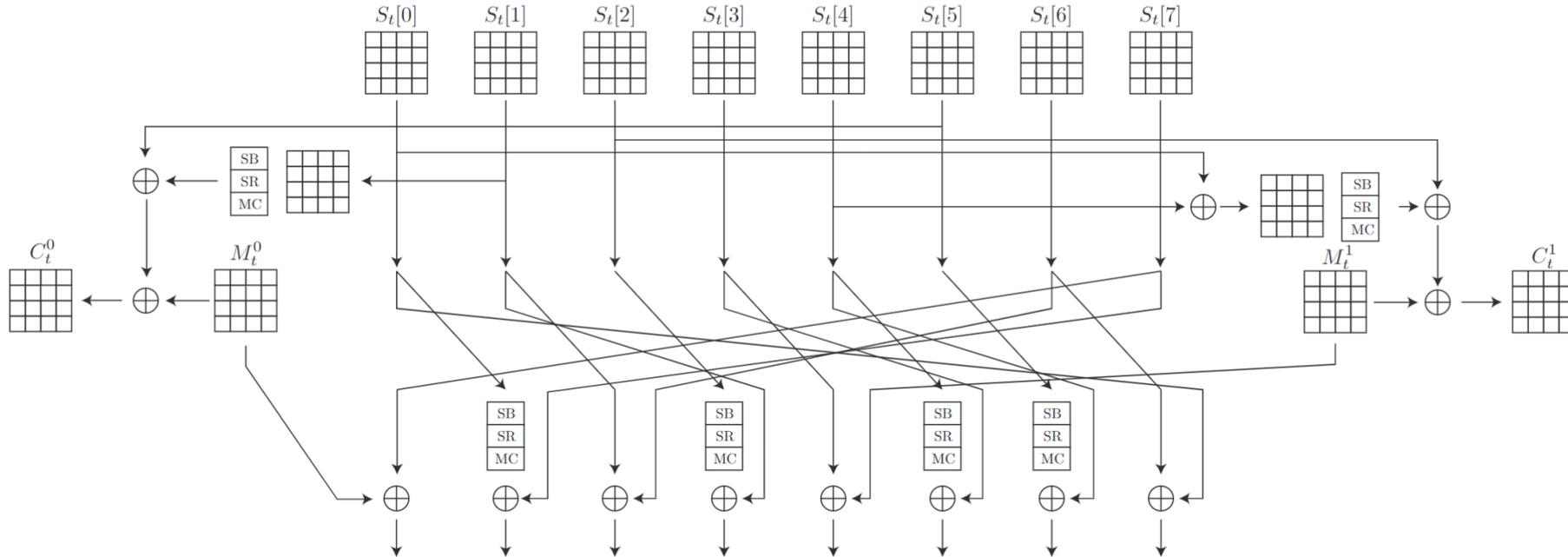
Rocca is permutation-based online AEAD.



Rocca uses 128-bit nonce, 256-bit key, and output 128-bit tag.  
The round function absorbs two 128-bit blocks, in total, 256 bits.

# Round function of Rocca

- AES-NI friendly design



The round function consists of the AES round function and XOR. AES-NI accelerates the implementation.

# Unbalanced security claims of Rocca

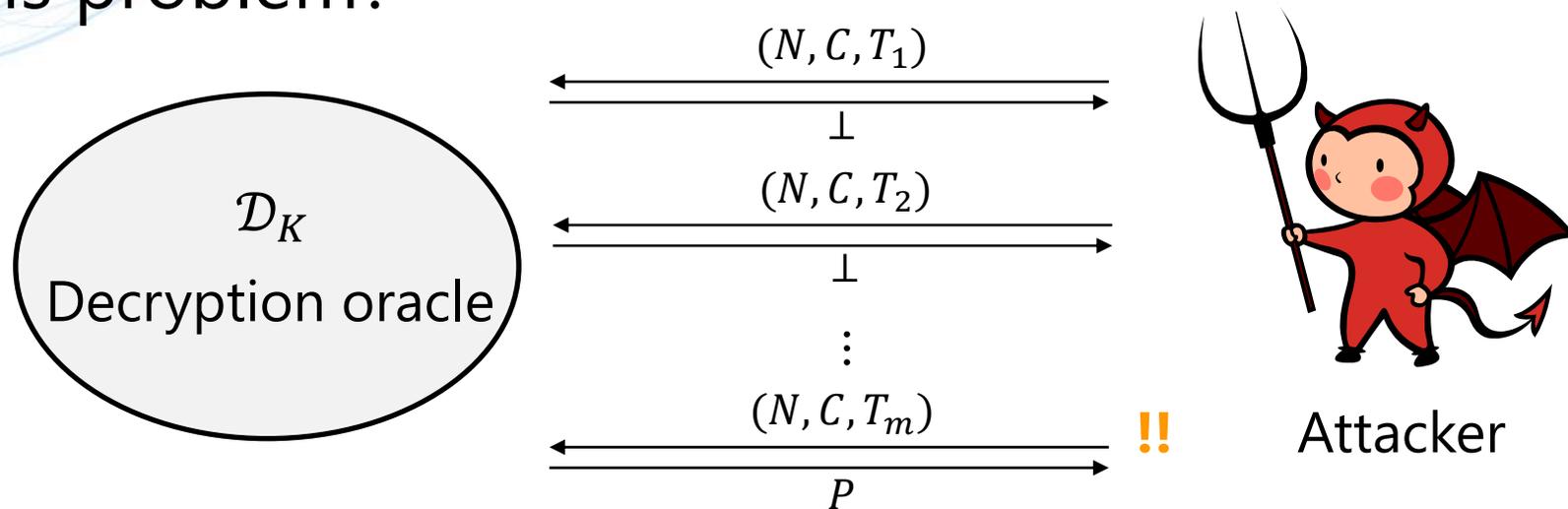
- Rocca claims unbalanced bit-security level.
  1. 256-bit key recovery & 128-bit tag.
    - We propose the key-recovery attack with the complexity of  $2^{128}$ .
  2. 256-bit IND security & 128-bit tag.
    - We consider the security definition capturing such an unbalanced security claim and show the (in)feasibility of this claim.



# Attack Exploiting Decryption Oracle

# 256-bit key recovery security and 128-bit tag

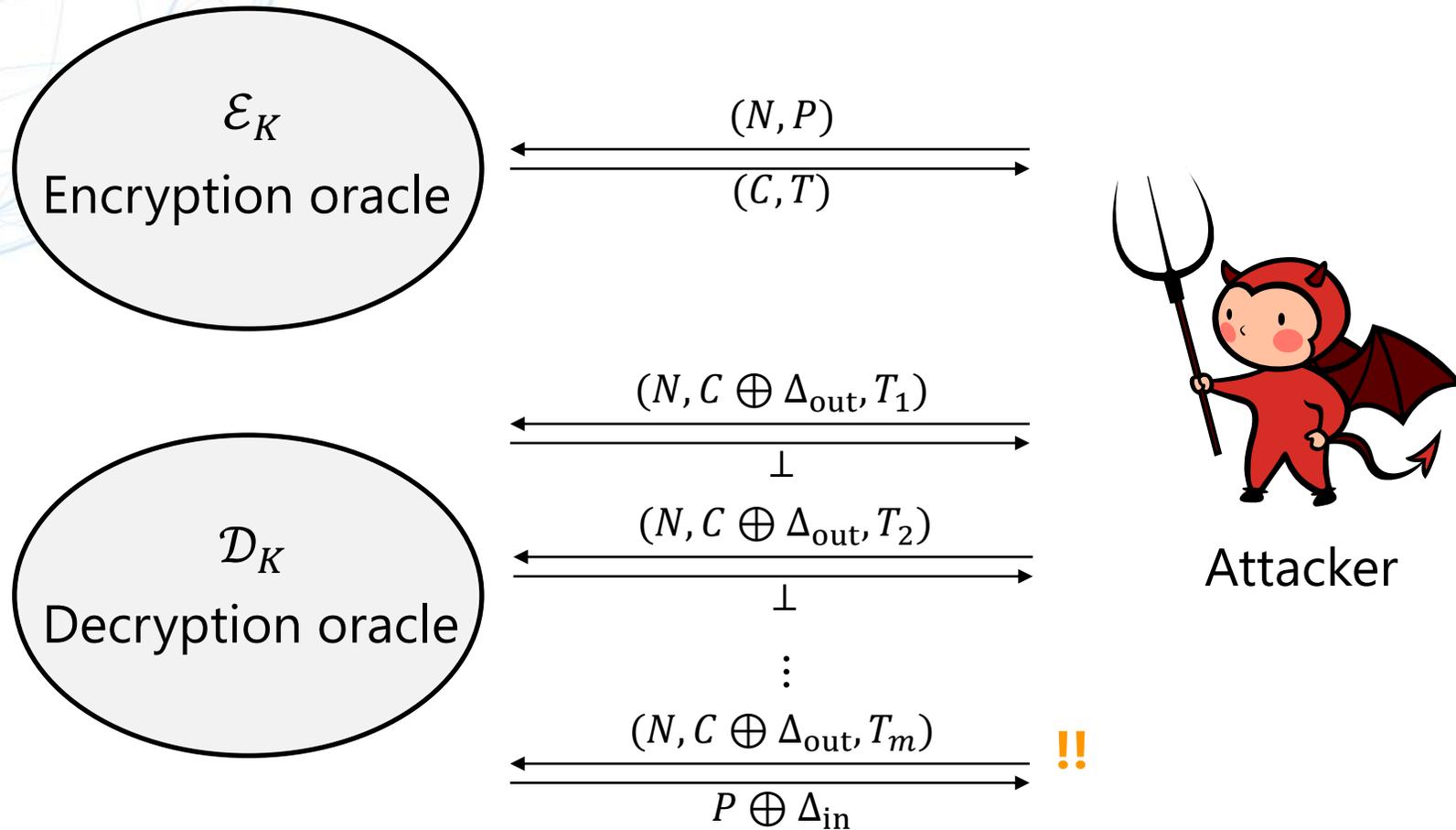
- Rocca uses
  - a 256-bit key to claim the 256-bit security against key recovery.
  - a 128-bit tag to claim the 128-bit security against forgery.
- What is problem?



- Attacker can query  $2^{128}$   $(N, C, T_i)$  and get  $P$  with lower than  $2^{128}$  complexity.

# Getting valid PC pairs with the same nonce

- An attacker can get a valid pair  $(N, P, C, T)$  and  $(N, P \oplus \Delta_{in}, C \oplus \Delta_{out}, T)$  with a complexity of  $2^{128}$  chosen  $\Delta_{out}$ .



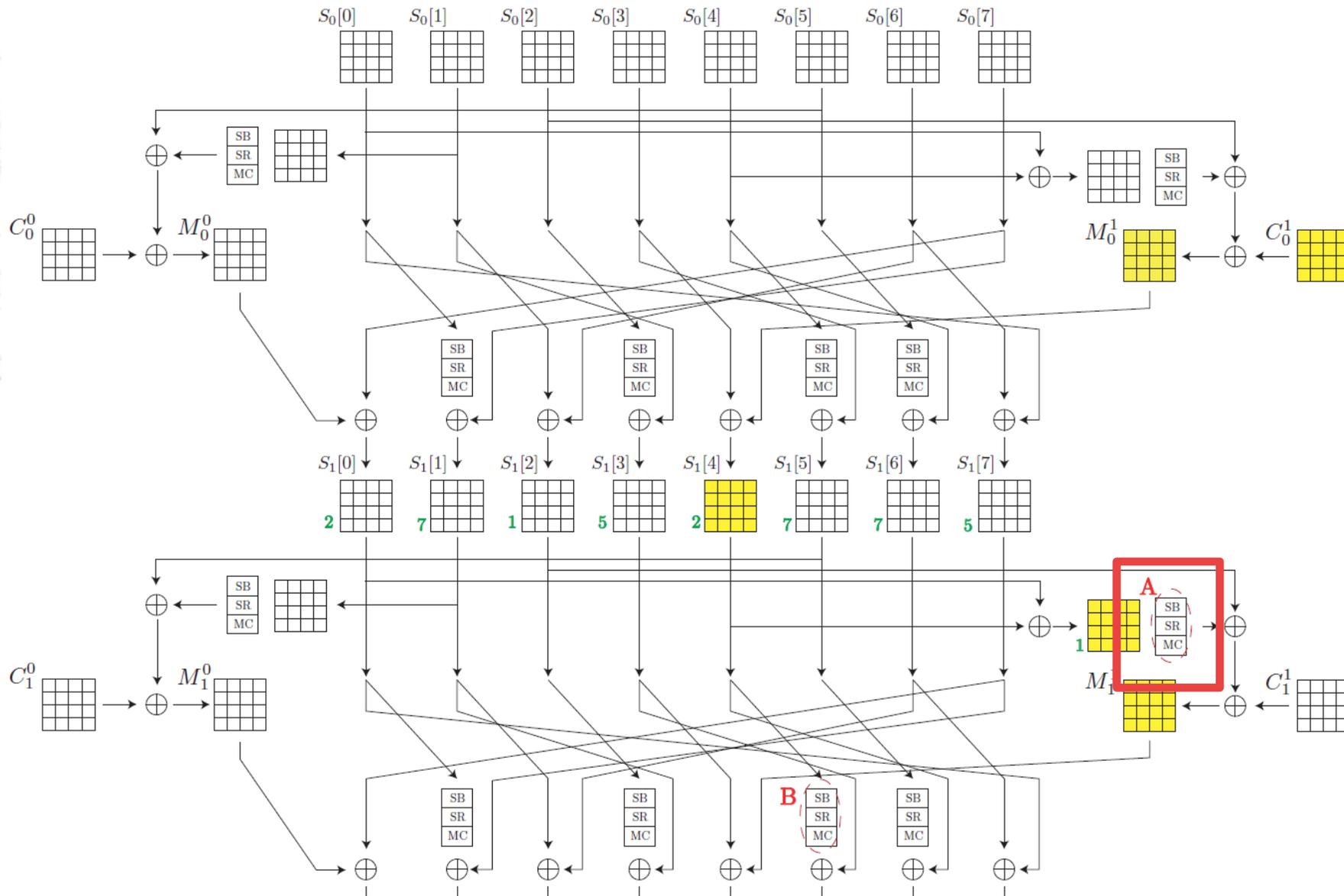
# What do we learn from this?

- Nonce-respecting scenario.
  - We can eliminate the attack using the PC pair with the same nonce.
- Unbalanced bit security.
  - An attacker can collect such a pair with a complexity of  $2^\tau$ .
  - When  $\tau < \kappa$ , we must care about such attacks.
- We can't eliminate the attack exploiting multiple PC pairs with the same nonce!!

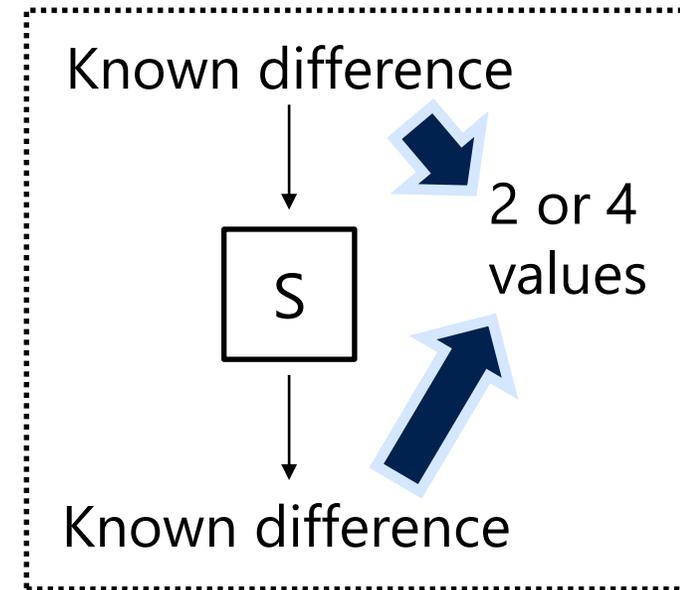
## In the case of Rocca

- The security claim is the nonce-respecting only.
- The designers don't consider the attack using the PC pairs with the same nonce.

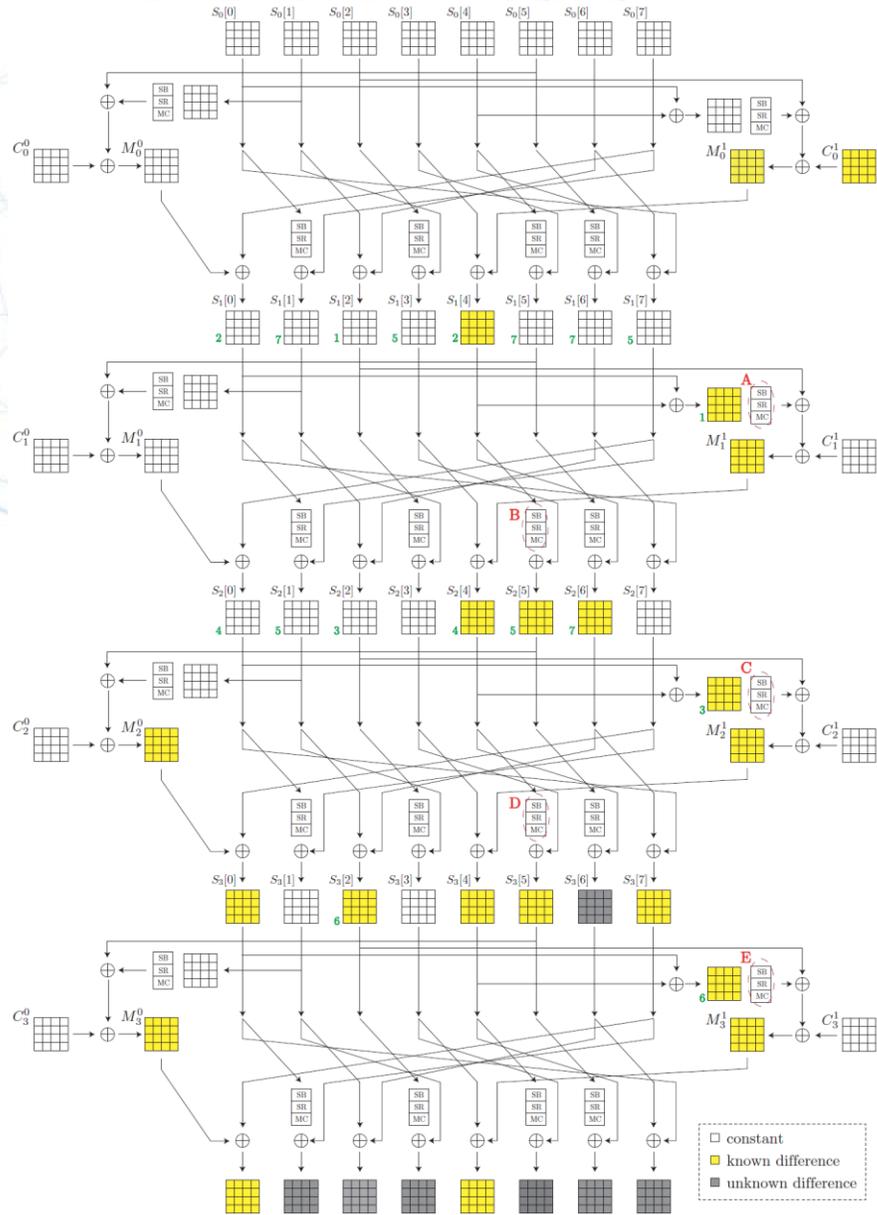
# Attack using a PC pair with the same nonce



Add difference!!

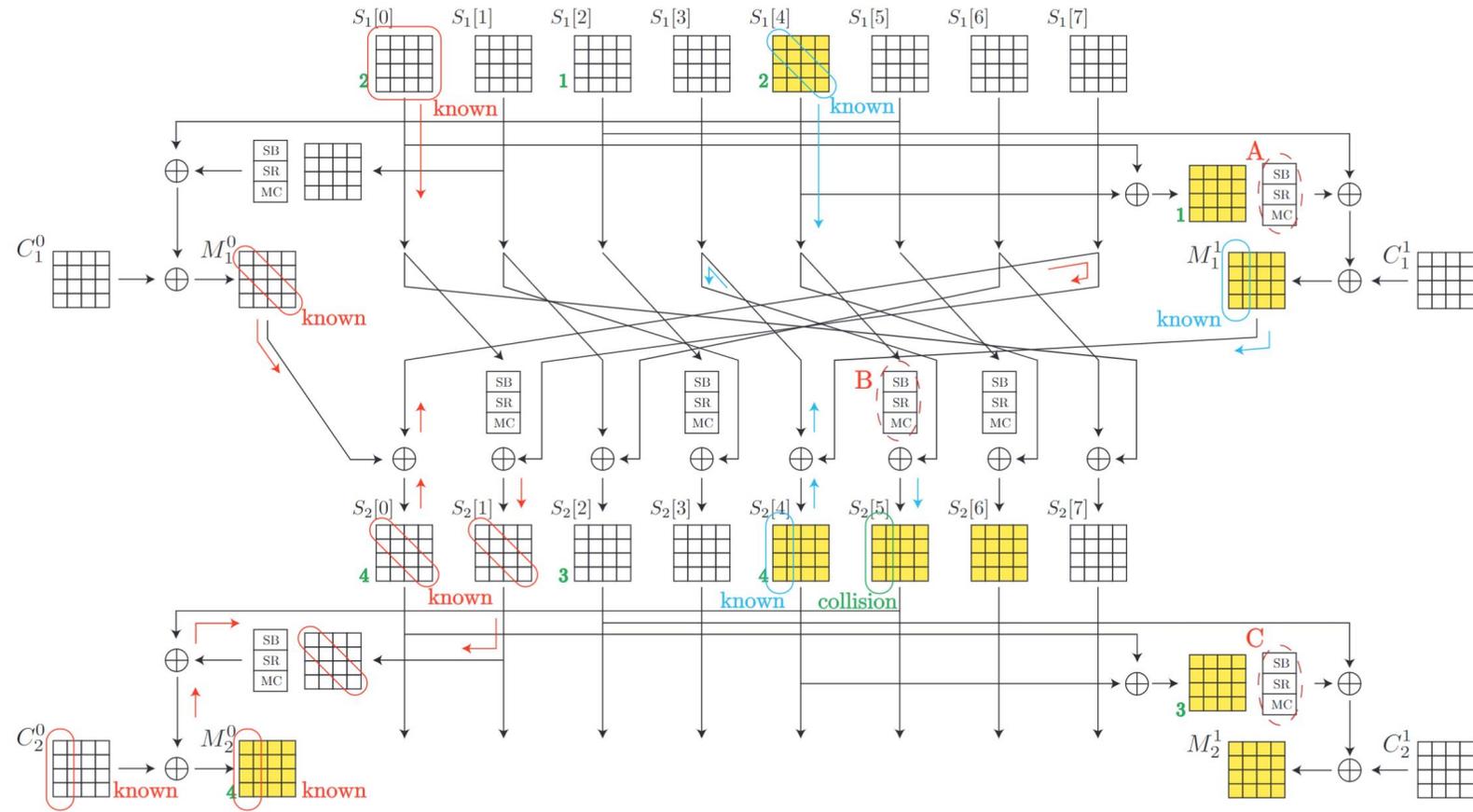


# Attack using a PC pair with the same nonce



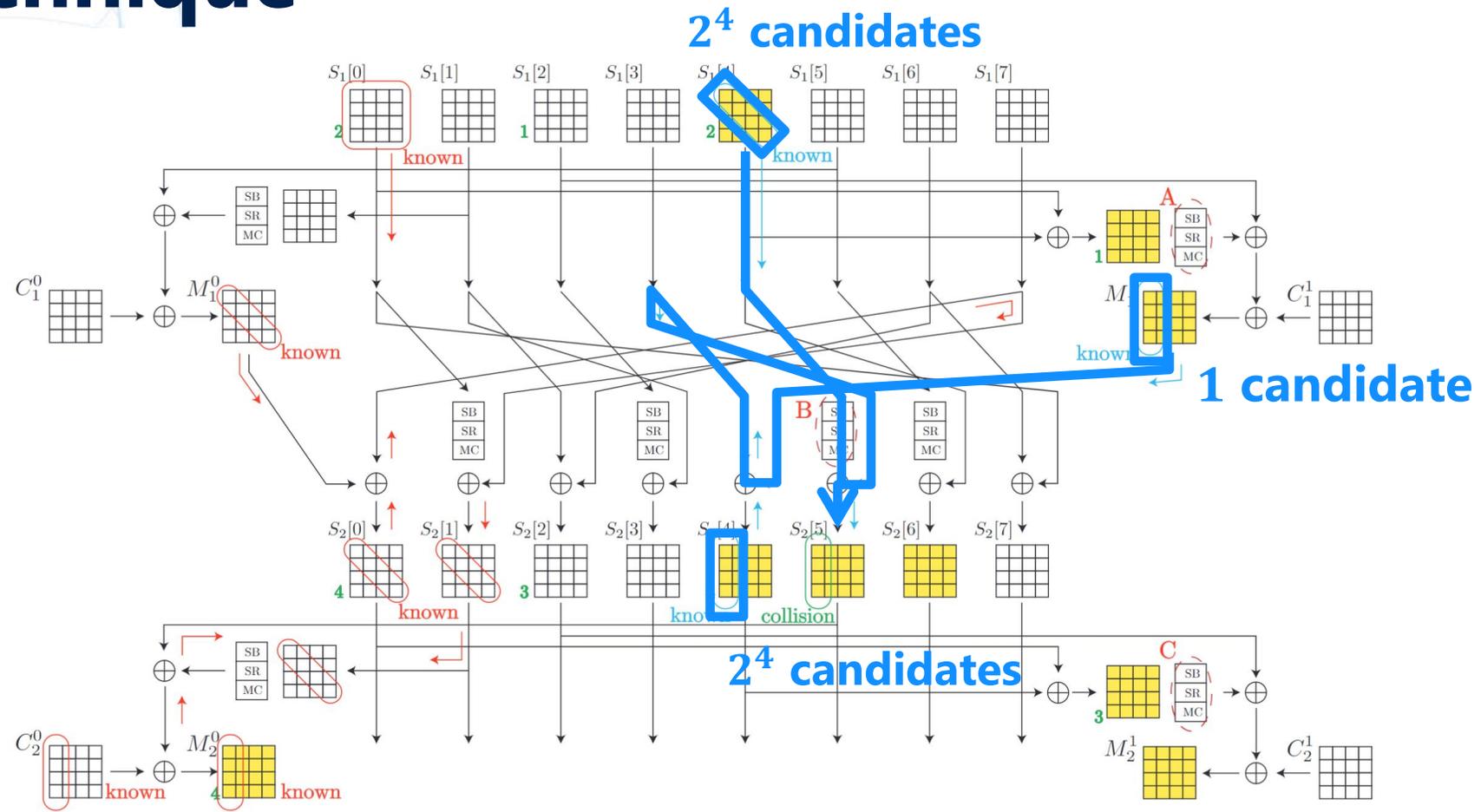
- Yellow bytes have **known** difference.
- We know input/output differences in five AES round functions (**A, B, C, D, and E**).
  - #candidates of each byte is reduced to (almost) 2 with high probability.
  - #candidates of each 128-bit state is reduced to  $2^{16}$  with high probability.
- Step-by-step straightforward procedure recovers the whole internal state with a complexity of  $2^{64}$  using only one PC pair.

# MitM technique



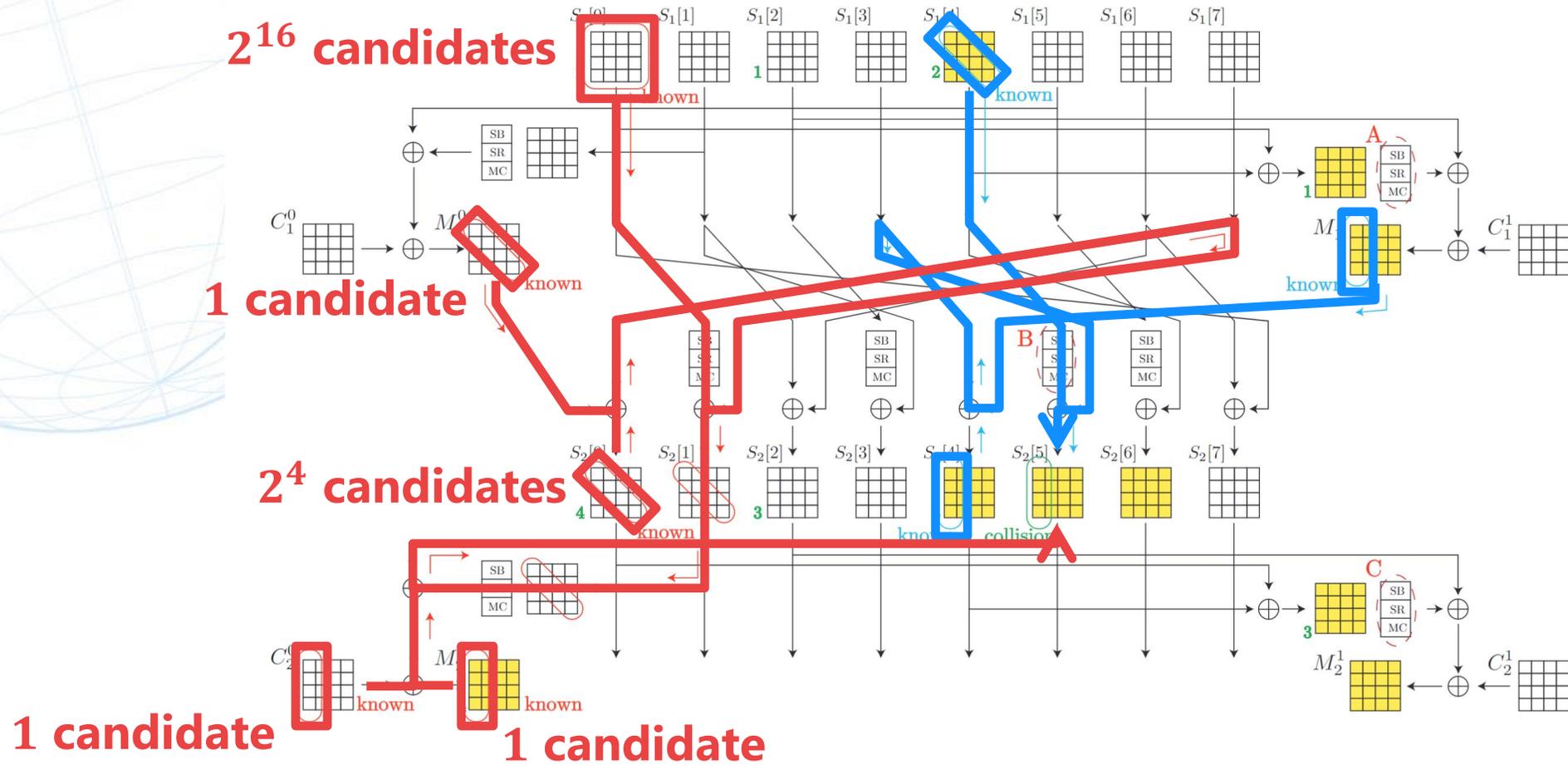
We compute each columns of  $S_2[5]$ .

# MitM technique



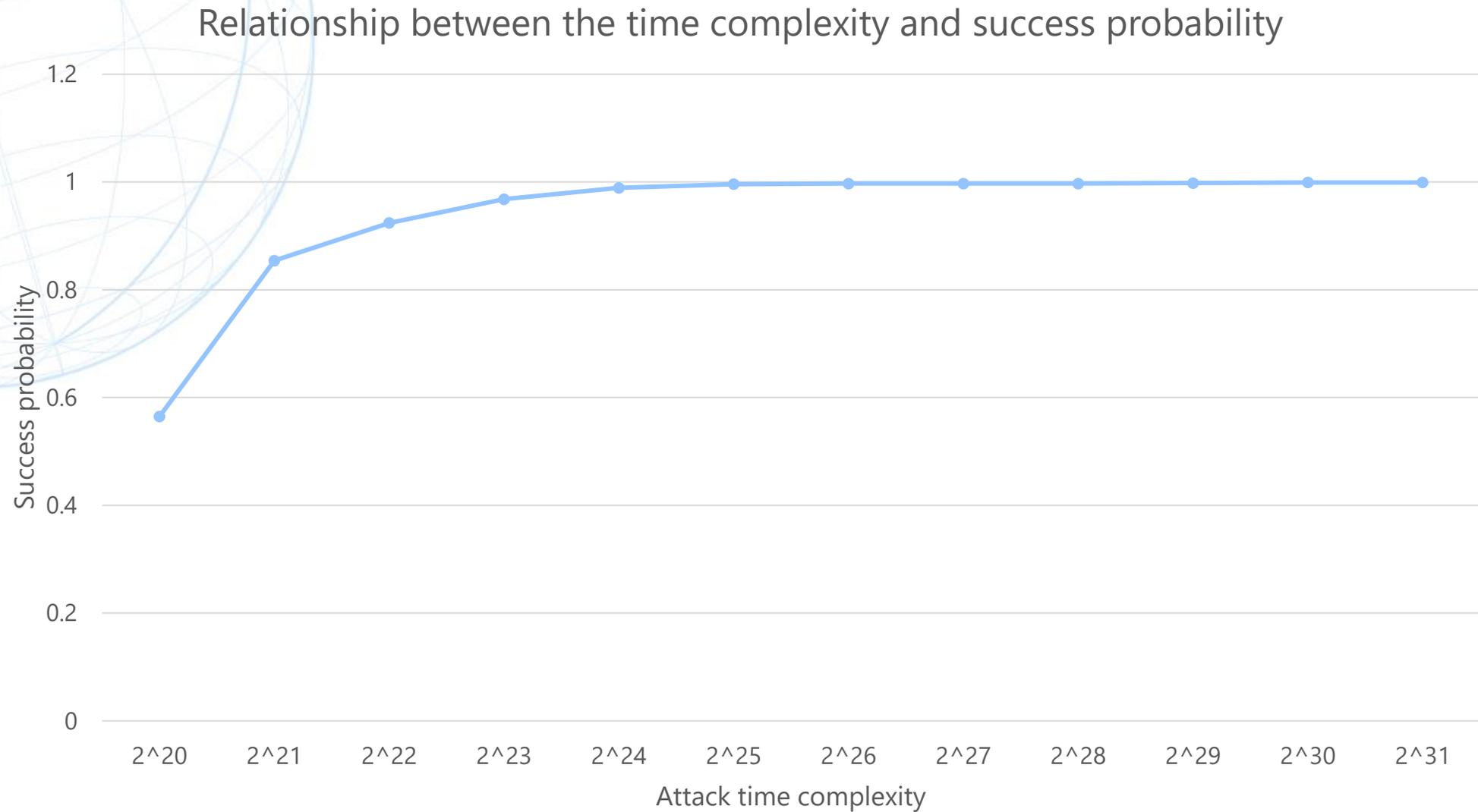
$2^4 \times 2^4 \times 1 = 2^8$  candidates in the blue line.

# MitM technique



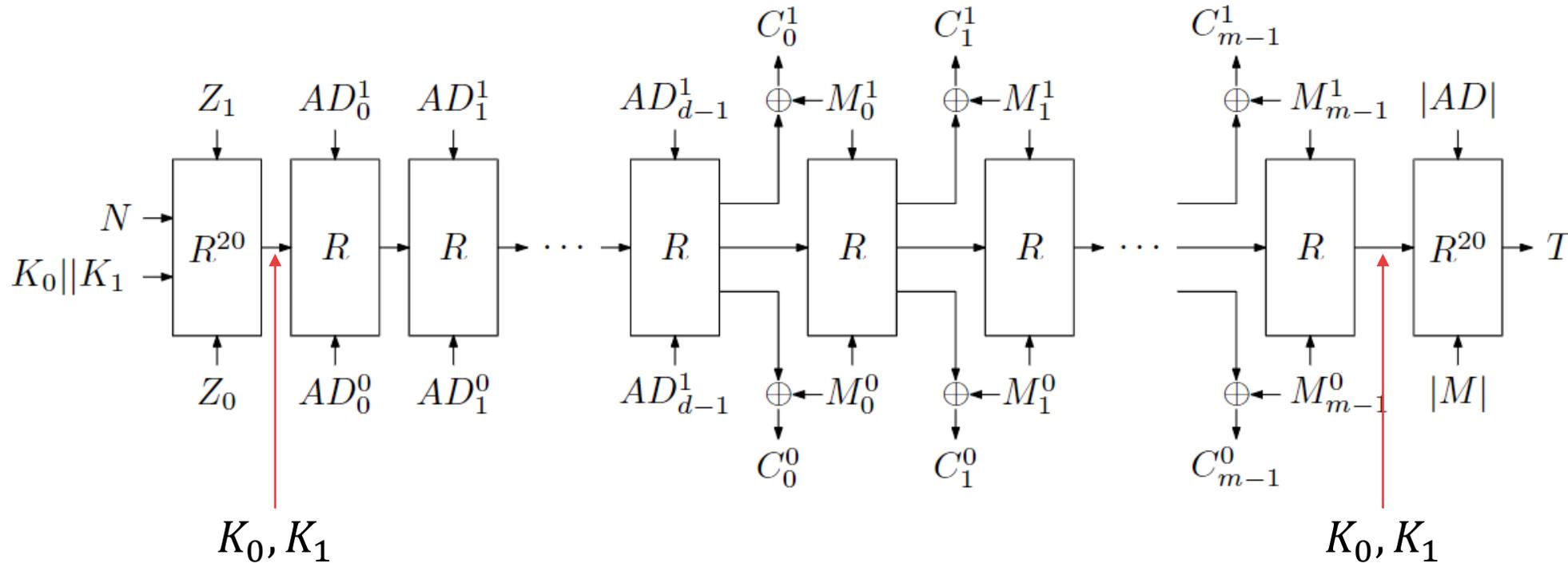
$2^4 \times 2^4 \times 1 = 2^8$  candidates in the blue line.  
 $2^{16} \times 1 \times 2^4 \times 1 \times 1 = 2^{20}$  candidates in the red line.  
**32-bit matching!!**

# Experimental results



# Possible countermeasure

- Involve the secret key after/before of the initialization/finalization.



This countermeasure never prevents the internal state recovery attack. However, even if it's recovered, the countermeasure makes the key recovery attack (and trivial universal forgery attack) non-trivial.



# On Validity of the Security Claim

# Unbalanced security claims of Rocca

- Rocca claims unbalanced bit-security level.
  1. 256-bit key recovery & 128-bit tag.
    - We propose the key-recovery attack with the complexity of  $2^{128}$ .
  - 2. 256-bit IND security & 128-bit tag.**
    - **We consider the security definition capturing such an unbalanced security claim and show the (in)feasibility of this claim.**

**Security claims.** Rocca provides 256-bit security against key-recovery and distinguishing attacks and 128-bit security against forgery attacks in the nonce-respecting setting. We do not claim its security in the related-key and known-key settings.

Rocca doesn't satisfy this unbalanced security claim because a key-recovery attack of complexity  $2^{128}$  exists.

Still, the following question is of theoretical interest:

- **Is 256-bit indistinguishability achievable for any AEAD with relatively short, 128-bit tags?**

# High IND security with short tag

- AEAD users (non cryptographers) may truncate the tag without careful consideration.
  - Intuitively, the tag truncation only affects the forgery security.
  - AEAD user must truncate it due to the narrow bandwidth or storage restriction.
- Can we truncate the tag of AEAD without too much impact on the indistinguishability security?
  - If the security is only ensured under the unified AE security, the answer is no.

# Security Notion 1: Unified AE Security

Real  $Enc_K$   $Dec_K$  v.s. Ideal \$  $\perp$

Standard setting when considering security of AEADs against CCAs

- ➔ Distinguishable with  $2^t$  queries ( $t$  : tag length)  
(by querying all tags to Dec oracle for a fixed N and C)
- ➔ The unbalanced security claim is unachievable for **any** AEAD.

# Security Notion 2: IND-CCA



## Infeasibility result on IND-CCA:

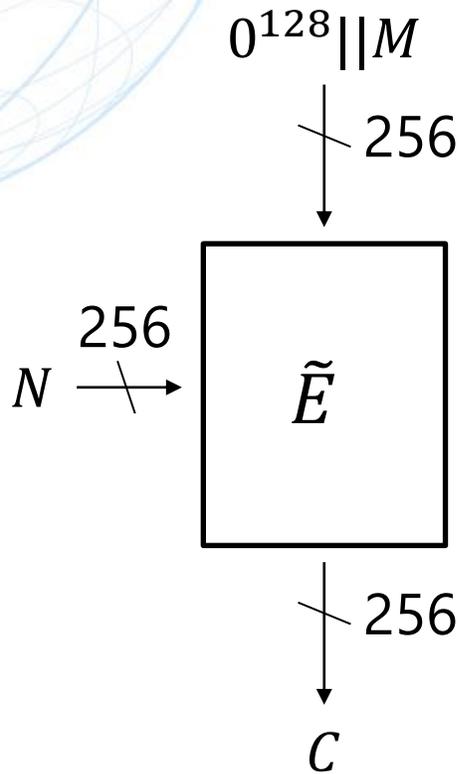
- Online AEADs cannot achieve more than t-bit IND-CCA-security [Kha22]

[Kha22] Mustafa Khairallah. Security of COFB against chosen ciphertext attacks. IACRTrans. Symmetric Cryptol., 2022(1):138–157, 2022.

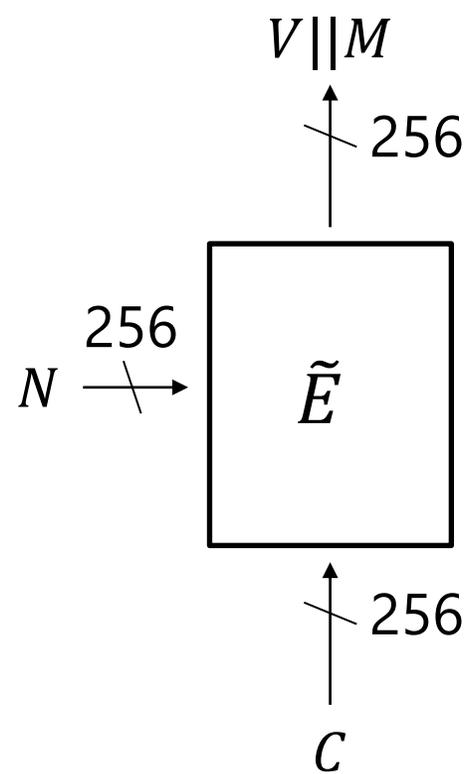
# Feasibility results : Encode-then-Encipher

- $\tilde{E}$  is a TBC with a 256-bit input, 256-bit output, and 256-bit tweak.

## Encryption



## Decryption



Check if  $V = 0^{128}$  or not.

# Conclusion

- Attack
  - We break the key-recovery security claim of Rocca.
    - The attack requires  $2^{128}$  complexity.
  - The attack is practical when the nonce is misused or RUP.
  - We can say that Rocca's security level is tag length rather than key length.
- Validity of the security claim
  - Discussing unbalanced security is meaningful.
  - It's out of focus of the unified AE security, and we need to consider others.
  - Achieving the IND-CCA security is difficult in the online AEAD.
  - Encode-then-encipher is feasible solution, but far from the practical.
    - More practical solution is open question.